

CLOSED

**U.S. District Court  
Northern District of Georgia (Atlanta)  
CRIMINAL DOCKET FOR CASE #: 1:19-mj-01031-LTW All Defendants**

Case title: USA v. Taylor, et al.

Date Filed: 11/26/2019

Other court case number: 19CRIM850 USDC, Southern  
District of New York

Date Terminated: 11/26/2019

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Assigned to: Magistrate Judge  
Linda T. Walker

**Defendant (1)**

**William Taylor**

*TERMINATED: 11/26/2019*

represented by **William Taylor**

400 Lafayette Circle  
Roswell, GA 30075  
PRO SE

**Jay Lester Strongwater**

Strongwater & Associates, LLC  
One Midtown Plaza, Suite 910  
1360 Peachtree Street  
Atlanta, GA 30309  
404-872-1700  
Fax: 404-881-8040  
Email: [jls@strongh2o.com](mailto:jls@strongh2o.com)  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*  
*Designation: Retained*

**Pending Counts**

None

**Disposition**

**Highest Offense Level (Opening)**

None

**Terminated Counts**

None

**Disposition**

**Highest Offense Level**  
**(Terminated)**

None

**Complaints**

**Disposition**

18:371 CONSPIRACY TO  
DEFRAUD THE UNITED  
STATES and 15:78(b) & 78ff  
SECURITIES FRAUD

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Assigned to: Magistrate Judge  
Linda T. Walker

**Defendant (2)**

**Parker H. Petit**  
*TERMINATED: 11/26/2019*

represented by **Parker H. Petit**  
1650 Cox Road  
Roswell, GA 30075  
770-650-2755  
PRO SE

**Jay Lester Strongwater**  
(See above for address)  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*  
*Designation: Retained*

**Pending Counts**

None

**Disposition**

**Highest Offense Level (Opening)**

None

**Terminated Counts**

None

**Disposition**

**Highest Offense Level**  
**(Terminated)**

None

**Complaints**

18:371 CONSPIRACY TO  
DEFRAUD THE UNITED  
STATES and 15:78(b) & 78ff  
SECURITIES FRAUD

---

**Disposition**

**Plaintiff**

USA

represented by **Sekret T. Sneed**  
Office of the United States  
Attorney-ATL600

Northern District of Georgia  
 600 United States Courthouse  
 75 Ted Turner Dr., S.W.  
 Atlanta, GA 30303  
 404-581-6000  
 Fax: 404-581-6181  
 Email: [sekret.sneed@usdoj.gov](mailto:sekret.sneed@usdoj.gov)  
**LEAD ATTORNEY**  
**ATTORNEY TO BE NOTICED**  
*Designation: Retained*

Date Filed	#	Page	Docket Text
11/26/2019			Arrest (Rule 40) of William Taylor, Parker H. Petit. (bdb) (Entered: 11/29/2019)
11/26/2019	<u>1</u>		Minute Entry for proceedings held before Magistrate Judge Linda T. Walker: Initial Appearance in Rule 5(c)(3) Proceedings as to William Taylor held on 11/26/2019. Defendant waives Identity Hearing. Waiver filed. Bond Hearing. Property Bond set at \$500,000.00. Dft to return before 12/12/19 to satisfy the bond with property. Bond filed. Defendant released. (Attachments: # <u>1</u> Arrest Warrant) (Tape #FTR) (bdb) (Entered: 11/29/2019)
11/26/2019	<u>2</u>		WAIVER of Rule 5 Identity Hearing by William Taylor. (bdb) (Entered: 11/29/2019)
11/26/2019	<u>3</u>		Property Bond on Rule 5(c)(3) Entered as to William Taylor in amount of \$ 500,000.00. (bdb) (Entered: 11/29/2019)
11/26/2019	<u>4</u>		ORDER Setting Conditions of Release as to William Taylor. The defendant shall appear at JUDGE JED RACOFF, 500 Pearl St., #14-B on Wednesday, December 4, 2019 at 11:00 a.m. Signed by Magistrate Judge Linda T. Walker on 11/26/2019. (bdb) (Entered: 11/29/2019)
11/26/2019	<u>5</u>		Minute Entry for proceedings held before Magistrate Judge Linda T. Walker: Initial Appearance in Rule 5(c)(3) Proceedings as to Parker H. Petit held on 11/26/2019. Defendant waives Identity Hearing. Waiver filed. Bond Hearing. Property Bond set at \$1,000,000.00. Dft to return before 12/12/19 to satisfy the bond with property. Bond filed. Defendant released. (Attachments: # <u>1</u> Arrest Warrant) (Tape #FTR) (bdb) (Entered: 11/29/2019)
11/26/2019	<u>6</u>		WAIVER of Rule 5 Identity Hearings by Parker H. Petit. (bdb) (Entered: 11/29/2019)
11/26/2019	<u>7</u>		Property Bond on Rule 5(c)(3) Entered as to Parker H. Petit in amount of \$1,000,000.00. (bdb) (Entered: 11/29/2019)
11/26/2019	<u>8</u>		ORDER Setting Conditions of Release as to Parker H. Petit. The defendant shall appear at JUDGE JED RACOFF, 500 Pearl St., #14-B, Wednesday, December 4, 2019 at 11:00 a.m. Signed by Magistrate Judge Linda T. Walker on 11/26/2019. (bdb) (Entered: 11/29/2019)
11/26/2019			Magistrate Case Closed. Defendant Parker H. Petit and William Taylor terminated. (bdb) (Entered: 11/29/2019)
11/29/2019			Transmittal of Rule 5(c)(3) Documents as to William Taylor, Parker H. Petit, sent

		to USDC, Southern District of New York via electronic mail. Original case file with Bond and docket sheet. (bdb) (Entered: 11/29/2019)
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## MAGISTRATE'S CRIMINAL MINUTES - REMOVALS (Rule 5 &amp; 5.1)

FILED IN OPEN COURT

DATE: 11/26/2019 @ 2:33 pm

TAPE: FTR

TIME IN COURT: 37 minutes

MAGISTRATE JUDGE LINDA T. WALKER

COURTROOM DEPUTY CLERK: Sonya Coggins

CASE NUMBER: 1:19-MJ-1031-LTW

DEFENDANT'S NAME: William Taylor

AUSA: Secret Sneed

DEFENDANT'S ATTY: Jay Strongwater

USPO / PTR: Steven Witherspoon

( ) Retained ( ) CJA (X) FDP ( ) Waived

ARREST DATE

☒ Initial appearance hearing held.

Defendant informed of rights.

Interpreter sworn:

**COUNSEL**

ORDER appointing Federal Defender as counsel for defendant.

ORDER appointing as counsel for defendant.

ORDER: defendant to pay attorney's fees as follows:

**IDENTITY / PRELIMINARY HEARING**☒ Defendant WAIVES identity hearing.☒ WAIVER FILED

Identity hearing HELD. Def is named def. in indictment/complaint; held for removal to other district.

Defendant WAIVES preliminary hearing in this district only. WAIVER FILED

Preliminary hearing HELD. Probable cause found; def. held to District Court for removal to other district

Commitment issued.

**BOND/PRETRIAL DETENTION HEARING**

Government motion for detention filed. @

Pretrial hearing set for @ ( ) In charging district.)

Bond/Pretrial detention hearing held.

Government motion for detention ( ) GRANTED ( ) DENIED

Pretrial detention ordered. Written order to follow.

☒ BOND set at 500,000.00 NON-SURETY SURETY

cash X property corporate surety ONLY

☒ SPECIAL CONDITIONS: Dft to return before 12/12/19 to satisfy the bond w property.☒ Bond filed. Defendant released.

Bond not executed. Defendant to remain in Marshal's custody.

Motion ( ) verbal to reduce/revoke bond filed.

Motion to reduce/revoke bond GRANTED DENIED

See page 2

Mod A.O. 442 (09/13) - Arrest Warrant - AUSA Name &amp; Title: E. Imperatore, 212-637-2327; S. Hartman, 637-2367; D. Tracer, 637-2329

## UNITED STATES DISTRICT COURT

for the

Southern District of New York

United States of America

v.

William Taylor

Case No.

NDGA No: 1:19-MJ-1031-LTW

Defendant

## ARREST WARRANT

To: Any authorized law enforcement officer

**YOU ARE COMMANDED** to arrest and bring before a United States magistrate judge without unnecessary delay

(name of person to be arrested) William Taylor

who is accused of an offense or violation based on the following document filed with the court:

- ☒ Indictment   
 ☐ Superseding Indictment   
 ☐ Information   
 ☐ Superseding Information   
 ☐ Complaint  
☐ Probation Violation Petition   
☐ Supervised Release Violation Petition   
☐ Violation Notice   
☐ Order of the Court

This offense is briefly described as follows:

-Conspiracy to Commit Securities Fraud, Making False SEC Filings, Improperly Influencing the Conduct of Audits, 18 U.S.C. s 371 (Count One)

-Securities Fraud, 15 U.S.C. ss 78j(b) & 78ff; 17 C.F.R. s 240.10b-5; 18 U.S.C. s 2 (Count Two)

Date: NOV 25 2019

City and state: New York, NY

Issuing officer's signature

ROBERT W. LEHRBURGER  
 UNITED STATES MAGISTRATE JUDGE  
 SOUTHERN DISTRICT OF NEW YORK

## Return

This warrant was received on (date) \_\_\_\_\_, and the person was arrested on (date) \_\_\_\_\_  
 at (city and state) \_\_\_\_\_

Date: \_\_\_\_\_

Arresting officer's signature

Printed name and title

Secret Sneed



## UNITED STATES DISTRICT COURT

NOV 26 2019

NORTHERN

DISTRICT OF

GEORGIA

James N. Hatten, Clerk  
By:  Deputy Clerk

UNITED STATES OF AMERICA

## WAIVER OF RULE 5 &amp; 5.1 HEARINGS

(Complaint/Indictment)

V.

CASE NUMBER: 1:19-MJ-1031-LTW

WILLIAM TAYLOR

Defendant

CHARGING DISTRICTS  
CASE NUMBER: 19CRIM850


I understand that charges are pending in the SOUTHERN District of NEW YORK  
alleging violation of 18:371 (COUNT ONE) and that I have been arrested in this district and  
(Title and Section)

taken before a judge, who has informed me of the charge(s) and my rights to:

- (1) retain counsel or request the assignment of counsel if I am unable to retain counsel;
- (2) an identity hearing to determine whether I am the person named in the charges;
- (3) a preliminary hearing (unless an indictment has been returned or information filed) to determine whether there is probable cause to believe an offense has been committed by me, the hearing to be held in this district or the district of prosecution; and
- (4) Request transfer of the proceedings to this district under Rule 20, Fed. R. Crim. P., in order to plead guilty.

## I HEREBY WAIVE (GIVE UP) MY RIGHT TO A(N):

( ☒ ) identity hearing( ☒ ) preliminary hearing

 ( ☒ ) identity hearing but request a preliminary hearing be held in the prosecuting district and, therefore, consent to the issuance of an order requiring my appearance in the prosecuting district where the charges are pending against me.

  
Defendant

11/26/2019

Date

  
Defense Counsel

## UNITED STATES DISTRICT COURT

FILED IN OPEN COURT  
U.S.D.C. - Atlanta

NORTHERN

District of

GEORGIA

NOV 26 2019

UNITED STATES OF AMERICA

V.

James N. Hatten, Clerk

By:

Deputy Clerk

## APPEARANCE AND COMPLIANCE BOND

WILLIAM TAYLOR

Defendant

Case

1:19-MJ-10310LTW

Non-surety: I, the undersigned defendant acknowledge that I and my . . .

Surety: We, the undersigned, jointly and severally acknowledge that we and our . . .

personal representatives, jointly and severally, are bound to pay to the United States of America the sum of

\$ 500,000.00 (PROPERTY), and there has been deposited in the Registry of the Court the sum of

\$ \_\_\_\_\_ in cash or \_\_\_\_\_ (describe other security.)

The conditions of this bond are that the defendant, WILLIAM TAYLOR

(Name)

is to (1) appear before this court and at such other places as the defendant may be required to appear, in accordance with any and all orders and directions relating to the defendant's appearance in this case, including appearance for violation of a condition of defendant's release as may be ordered or notified by this court or any other United States District Court to which the defendant may be held to answer or the cause transferred; (2) comply with all conditions of release imposed by the court, and (3) abide by any judgment entered in such matter by surrendering to serve any sentence imposed and obeying any order or direction in connection with such judgment.

It is agreed and understood that this is a continuing bond (including any proceeding on appeal or review) which shall continue until such time as the undersigned are exonerated.

If the defendant appears as ordered or notified and otherwise obeys and performs the foregoing conditions of this bond, then this bond is to be void, but if the defendant fails to obey or perform any of these conditions, payment of the amount of this bond shall be due forthwith. Forfeiture of this bond for any breach of its conditions may be declared by any United States District Court having cognizance of the above entitled matter at the time of such breach and if the bond is forfeited and if the forfeiture is not set aside or remitted, judgment, may be entered upon motion in such United States District Court against each debtor jointly and severally for the amount above stated, together with interest and costs, and execution may be issued and payment secured as provided by the Federal Rules of Criminal Procedure and any other laws of the United States.

This bond is signed on 11/26/2019 at 75 Ted Turner Drive S.W. Atlanta, GA 30303

Date

Place

Defendant

Address

Roswell, GA 30075

CITY, STATE, AND ZIP CODE ONLY

Surety

Address

Surety

Address

Signed and acknowledged before me on 11/26/2019

Date

Approved

Judge Officer

Judge/Clerk

## UNITED STATES DISTRICT COURT

NORTHERN

District of

GEORGIA

United States of America

V.

ORDER SETTING CONDITIONS  
OF RELEASE

WILLIAM TAYLOR

Case Number: 1:19-MJ-1031-LTW

Defendant

IT IS ORDERED that the release of the defendant is subject to the following conditions:

- (1) The defendant shall not commit any offense in violation of federal, state or local law while on release in this case.
- (2) The defendant shall immediately advise the court, defense counsel and the U.S. attorney in writing before any change in address and telephone number.
- (3) The defendant shall appear at all proceedings as required and shall surrender for service of any sentence imposed as directed. The defendant shall appear at (if blank, to be notified) JUDGE JED RACOFF  
Place  
500 PEARL ST., #14-B on WEDNESDAY DECEMBER 4, 2019 AT 11:00 A.M.  
Date and Time

## Release on Personal Recognizance or Unsecured Bond

IT IS FURTHER ORDERED that the defendant be released provided that:

- ( ☒ ) (4) The defendant promises to appear at all proceedings as required and to surrender for service of any sentence imposed.
- ( ☒ ) (5) The defendant executes an unsecured bond binding the defendant to pay the United States the sum of FIVE HUNDRED THOUSAND dollars (\$ 500,000.00 ) in the event of a failure to appear as required or to surrender as directed for service of any sentence imposed.

DISTRIBUTION: COURT DEFENDANT PRETRIAL SERVICES U.S. ATTORNEY U.S. MARSHAL



**ADDITIONAL CONDITIONS OF RELEASE**

Upon finding that release by one of the above methods will not by itself reasonably assure the defendant's appearance and the safety of other persons or the community, IT IS FURTHER ORDERED that the defendant's release is subject to the conditions marked below:

( ) (7) The defendant is placed in the custody of:

Person or organization \_\_\_\_\_

Address (only if above is an organization) \_\_\_\_\_

City and state \_\_\_\_\_

Tel. No. (only if above is an organization) \_\_\_\_\_

who agrees (a) to supervise the defendant in accordance with all of the conditions of release, (b) to use every effort to assure the defendant's appearance at all scheduled court proceedings, and (c) to notify the court immediately if the defendant violates any condition of release or disappears.

Signed: \_\_\_\_\_

Custodian or Proxy

Date

DEFENDANT: WILLIAM TAYLOR

( X ) ( ) The defendant must:

( X ) (a) report to the U.S. PRETRIAL SERVICES

telephone number 404-215-1950, no later than 5:00 PM TODAY

( X ) (b) execute a bond or an agreement to forfeit upon failing to appear as required the following sum of money or designated property:

500,000.00 CO-SIGNED BY WIFE BY 12/12/2019 (PROPERTY BOND)

( ) (c) post with the court the following proof of ownership of the designated property, or the following amount or percentage of the above-described sum

( ) (d) execute a bail bond with solvent sureties in the amount of \$ \_\_\_\_\_

( ) (e) maintain or actively seek employment.

( ) (f) maintain or commence an education program.

( X ) (g) surrender any passport to: U. S. PRETRIAL SERVICES

( X ) (h) obtain no passport.

( X ) (i) abide by the following restrictions on personal association, place of abode, or travel: RESIDE AT THE ADDRESS PROVIDED TO U.S. PRETRIAL SERVICES AND **DO NOT** CHANGE ADDRESS W/O PRE-APPROVAL FROM THIS COURT.

( X ) (j) avoid all contact, directly or indirectly, with any person who is or may become a victim or potential witness in the investigation or prosecution, including but not limited to:

( ) (k) undergo medical or psychiatric treatment: \_\_\_\_\_

( ) (l) return to custody each (week) day at \_\_\_\_\_ o'clock after being released each (week) day at \_\_\_\_\_ o'clock for employment, schooling, or the following purpose(s): \_\_\_\_\_

( ) (m) maintain residence at a halfway house or community corrections center, as the pretrial services office or supervising officer considers necessary.

( X ) (n) refrain from possessing a firearm, destructive device, or other dangerous weapons **TO INCLUDE AMMUNITION**.

( X ) (o) refrain from ( ) any ( X ) excessive use of alcohol.

( X ) (p) refrain from use or unlawful possession of a narcotic drug or other controlled substances defined in 21 U.S.C. § 802, unless prescribed by a licensed medical practitioner.

( ) (q) submit to any testing required by the pretrial services office or the supervising officer to determine whether the defendant is using a prohibited substance. Any testing may be used with random frequency and include urine testing, the wearing of a sweat patch, a remote alcohol testing system, and/or any form of prohibited substance screening or testing. The defendant must refrain from obstructing or attempting to obstruct or tamper, in any fashion, with the efficiency and accuracy of any prohibited substance testing or monitoring which is (are) required as a condition of release.

( ) (r) participate in a program of inpatient or outpatient substance abuse therapy and counseling if the pretrial services office or supervising officer considers it advisable.

( ) (s) participate in one of the following location monitoring program components and abide by its requirements as the pretrial services officer or supervising officer instructs.

( ) (i) **Curfew.** You are restricted to your residence every day ( ) from \_\_\_\_\_ to \_\_\_\_\_, or ( ) as directed by the pretrial services office or supervising officer; or

( ) (ii) **Home Detention.** You are restricted to your residence at all times except for employment; education; religious services; medical, substance abuse, or mental health treatment; attorney visits; court appearances; court-ordered obligations; or other activities pre-approved by the pretrial services office or supervising officer; or

( ) (iii) **Home Incarceration.** You are restricted to 24-hour-a-day lock-down except for medical necessities and court appearances or other activities specifically approved by the court.

( ) (t) submit to the location monitoring indicated below and abide by all of the program requirements and instructions provided by the pretrial services officer or supervising officer related to the proper operation of the technology.

( ) The defendant must pay all or part of the cost of the program based upon your ability to pay as the pretrial services office or supervising officer determines.

( ) (i) Location monitoring technology as directed by the pretrial services office or supervising officer;

( ) (ii) Radio Frequency (RF) monitoring;

( ) (iii) Passive Global Positioning Satellite (GPS) monitoring;

( ) (iv) Active Global Positioning Satellite (GPS) monitoring (including "hybrid" (Active/Passive) GPS);

( ) (v) Voice Recognition monitoring.

( X ) (u) Defendant ORDERED to remain w/in the jurisdiction of the NDGA/SDNY/BOSTON, MA unless pre-approval from U.S. Pretrial Services is received.

DISTRIBUTION: COURT DEFENDANT PRETRIAL SERVICES U.S. ATTORNEY U.S. MARSHAL



**Advice of Penalties and Sanctions**

U.S.D.C. - Atlanta

TO THE DEFENDANT:

NOV 26 2019

YOU ARE ADVISED OF THE FOLLOWING PENALTIES AND SANCTIONS:

James N. Hatten, Clerk

By:

Deputy Clerk

A violation of any of the foregoing conditions of release may result in the immediate issuance of a warrant for your arrest, a revocation of release, an order of detention, and a prosecution for contempt of court and could result in a term of imprisonment, a fine, or both.

The commission of a Federal offense while on pretrial release will result in an additional sentence of a term of imprisonment of not more than ten years, if the offense is a felony; or a term of imprisonment of not more than one year, if the offense is a misdemeanor. This sentence shall be in addition to any other sentence.

Federal law makes it a crime punishable by up to 10 years of imprisonment, and a \$250,000 fine or both to obstruct a criminal investigation. It is a crime punishable by up to ten years of imprisonment, and a \$250,000 fine or both to tamper with a witness, victim or informant; to retaliate or attempt to retaliate against a witness, victim or informant; or to intimidate or attempt to intimidate a witness, victim, juror, informant, or officer of the court. The penalties for tampering, retaliation, or intimidation are significantly more serious if they involve a killing or attempted killing.

If after release, you knowingly fail to appear as required by the conditions of release, or to surrender for the service of sentence, you may be prosecuted for failing to appear or surrender and additional punishment may be imposed. If you are convicted of:

- (1) an offense punishable by death, life imprisonment, or imprisonment for a term of fifteen years or more, you shall be fined not more than \$250,000 or imprisoned for not more than 10 years, or both;
- (2) an offense punishable by imprisonment for a term of five years or more, but less than fifteen years, you shall be fined not more than \$250,000 or imprisoned for not more than five years, or both;
- (3) any other felony, you shall be fined not more than \$250,000 or imprisoned not more than two years, or both;
- (4) a misdemeanor, you shall be fined not more than \$100,000 or imprisoned not more than one year, or both.

A term of imprisonment imposed for failure to appear or surrender shall be in addition to the sentence for any other offense. In addition, a failure to appear or surrender may result in the forfeiture of any bond posted.

**Acknowledgment of Defendant**

I acknowledge that I am the defendant in this case and that I am aware of the conditions of release. I promise to obey all conditions of release, to appear as directed, and to surrender for service of any sentence imposed. I am aware of the penalties and sanctions set forth above.



Signature of Defendant

400 Lafayette Close

Address

Roswell GA 30075

CITY/STATE/ZIP CODE TELEPHONE

**Directions to United States Marshal**

- ☒ The defendant is ORDERED released after processing.
- ☐ The United States marshal is ORDERED to keep the defendant in custody until notified by the clerk or judge that the defendant has posted bond and/or complied with all other conditions for release. The defendant shall be produced before the appropriate judge at the time and place specified, if still in custody.

Date: November 26, 2019


  
Signature of Judicial Officer

Name and Title of Judicial Officer

DISTRIBUTION: COURT DEFENDANT PRETRIAL SERVICE U.S. ATTORNEY U.S. MARSHAL

## MAGISTRATE'S CRIMINAL MINUTES - REMOVALS (Rule 5 &amp; 5.1)

FILED IN OPEN COURT

DATE: 11/26/2019 @ 2:33 pm

TAPE: FTR

TIME IN COURT: 37 minutes

MAGISTRATE JUDGE LINDA T. WALKER

COURTROOM DEPUTY CLERK: Sonya Coggins

CASE NUMBER: 1:19-MJ-1031-LTW

DEFENDANT'S NAME: Parker H. Petit

AUSA: Secret Sneed

DEFENDANT'S ATTY: Jay Strongwater

USPO / PTR: Steven Witherspoon

( ) Retained ( ) CJA (X) FDP ( ) Waived

ARREST DATE

☒ Initial appearance hearing held.

Defendant informed of rights.

Interpreter sworn:

COUNSEL

ORDER appointing Federal Defender as counsel for defendant.

ORDER appointing as counsel for defendant.

ORDER: defendant to pay attorney's fees as follows:

IDENTITY / PRELIMINARY HEARING☒ Defendant WAIVES identity hearing.☒ WAIVER FILED

Identity hearing HELD. Def is named def. in indictment/complaint; held for removal to other district.

Defendant WAIVES preliminary hearing in this district only.

☒ WAIVER FILED

Preliminary hearing HELD. Probable cause found; def. held to District Court for removal to other district

Commitment issued.

BOND/PRETRIAL DETENTION HEARING

Government motion for detention filed.

@

Pretrial hearing set for

@

( )

In charging district.)

Bond/Pretrial detention hearing held.

Government motion for detention ( ) GRANTED ( ) DENIED

Pretrial detention ordered. Written order to follow.

☒ BOND set at 1,000,000.00

NON-SURETY

☒ SURETY

cash

☒

property

corporate surety ONLY

☒ SPECIAL CONDITIONS: Dft to return before 12/12/19 to satisfy the bond w property.☒ Bond filed. Defendant released.

Bond not executed. Defendant to remain in Marshal's custody.

Motion ( ) verbal to reduce/revoke bond filed.

Motion to reduce/revoke bond GRANTED

☒ DENIED

See page 2



Mod No: 142 (09/13) Arrest Warrant - AGSA Name & Phone: E. Imperatore, 212-637-2327; S. Hartman, 637-2357; D. Frazer, 637-2329

# UNITED STATES DISTRICT COURT

for the  
Southern District of New York

United States of America

v.  
Parker H. Petit

Case No.

**19 CRIM 850**

NDGA No: 1:19-MJ-1031-LTW

Defendant

## ARREST WARRANT

To: Any authorized law enforcement officer

**YOU ARE COMMANDED** to arrest and bring before a United States magistrate judge without unnecessary delay

(name of person to be arrested) Parker H. Petit

who is accused of an offense or violation based on the following document filed with the court:

- ☒ Indictment    ☐ Superseding Indictment    ☐ Information    ☐ Superseding Information    ☐ Complaint  
☐ Probation Violation Petition    ☐ Supervised Release Violation Petition    ☐ Violation Notice    ☐ Order of the Court

This offense is briefly described as follows:

-Conspiracy to Commit Securities Fraud, Making False SEC Filings, Improperly Influencing the Conduct of Audits, 18 U.S.C. s 371 (Count One)

-Securities Fraud, 15 U.S.C. ss 78j(b) & 78ff; 17 C.F.R. s 240.10b-5; 18 U.S.C. s 2 (Count Two)

Date: NOV 25 2019

City and state: New York, NY

Issuing officer's signature

**ROBERT W. LEHRBURGER**  
UNITED STATES MAGISTRATE JUDGE  
SOUTHERN DISTRICT OF NEW YORK

### Return

This warrant was received on (date) \_\_\_\_\_, and the person was arrested on (date) \_\_\_\_\_  
at (city and state) \_\_\_\_\_

Date: \_\_\_\_\_

Arresting officer's signature

Printed name and title

## UNITED STATES DISTRICT COURT

NOV 26 2019

NORTHERN

DISTRICT OF

GEORGIA

James N. Hatten, Clerk

Deputy Clerk

UNITED STATES OF AMERICA

## WAIVER OF RULE 5 &amp; 5.1 HEARINGS

(Complaint/Indictment)

V.

CASE NUMBER: 1:19-MJ-1031-LTW

PARKER H. PETIT

Defendant

CHARGING DISTRICTS  
CASE NUMBER: 19CRIM850

I understand that charges are pending in the SOUTHERN District of NEW YORK  
alleging violation of 18:371 (COUNT ONE) and that I have been arrested in this district and  
(Title and Section)

taken before a judge, who has informed me of the charge(s) and my rights to:

- (1) retain counsel or request the assignment of counsel if I am unable to retain counsel;
- (2) an identity hearing to determine whether I am the person named in the charges;
- (3) a preliminary hearing (unless an indictment has been returned or information filed) to determine whether there is probable cause to believe an offense has been committed by me, the hearing to be held in this district or the district of prosecution; and
- (4) Request transfer of the proceedings to this district under Rule 20, Fed. R. Crim. P., in order to plead guilty.

## I HEREBY WAIVE (GIVE UP) MY RIGHT TO A(N):

☒ identity hearing☒ preliminary hearing

☒ identity hearing but request a preliminary hearing be held in the prosecuting district and, therefore, consent to the issuance of an order requiring my appearance in the prosecuting district where the charges are pending against me.

  
Defendant

11/26/2019

Date

  
Defense Counsel



## UNITED STATES DISTRICT COURT

FILED IN OPEN COURT  
U.S.D.C. - Atlanta

NORTHERN

District of

GEORGIA NOV 26 2019

UNITED STATES OF AMERICA  
V.James N. Hatten, Clerk  
By:   
Deputy Clerk

PARKER PETIT

## APPEARANCE AND COMPLIANCE BOND

Defendant

Case 1:19-MJ-10310LTW

Non-surety: I, the undersigned defendant acknowledge that I and my . . .

Surety: We, the undersigned, jointly and severally acknowledge that we and our . . .  
personal representatives, jointly and severally, are bound to pay to the United States of America the sum of  
\$ 1,000,000.00 (PROPERTY) , and there has been deposited in the Registry of the Court the sum of  
\$ \_\_\_\_\_ in cash or \_\_\_\_\_ (describe other security.)

The conditions of this bond are that the defendant, PARKER PETIT

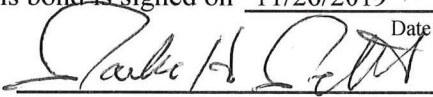
(Name)

is to (1) appear before this court and at such other places as the defendant may be required to appear, in accordance with any and all orders and directions relating to the defendant's appearance in this case, including appearance for violation of a condition of defendant's release as may be ordered or notified by this court or any other United States District Court to which the defendant may be held to answer or the cause transferred; (2) comply with all conditions of release imposed by the court, and (3) abide by any judgment entered in such matter by surrendering to serve any sentence imposed and obeying any order or direction in connection with such judgment.

It is agreed and understood that this is a continuing bond (including any proceeding on appeal or review) which shall continue until such time as the undersigned are exonerated.

If the defendant appears as ordered or notified and otherwise obeys and performs the foregoing conditions of this bond, then this bond is to be void, but if the defendant fails to obey or perform any of these conditions, payment of the amount of this bond shall be due forthwith. Forfeiture of this bond for any breach of its conditions may be declared by any United States District Court having cognizance of the above entitled matter at the time of such breach and if the bond is forfeited and if the forfeiture is not set aside or remitted, judgment, may be entered upon motion in such United States District Court against each debtor jointly and severally for the amount above stated, together with interest and costs, and execution may be issued and payment secured as provided by the Federal Rules of Criminal Procedure and any other laws of the United States.

This bond is signed on 11/26/2019 at 75 Ted Turner Drive S.W. Atlanta, GA 30303

Defendant  Address Roswell, GA 30075  
CITY, STATE, AND ZIP CODE ONLY

Surety \_\_\_\_\_ Address \_\_\_\_\_

Surety \_\_\_\_\_ Address \_\_\_\_\_

Signed and acknowledged before me on 11/26/2019

Date

Approved

Judge Officer

Judge/Clerk

## UNITED STATES DISTRICT COURT

NORTHERN

District of

GEORGIA

United States of America

V.

PARKER H. PETIT

Defendant

ORDER SETTING CONDITIONS  
OF RELEASE

Case Number: 1:19-MJ-1031-LTW

IT IS ORDERED that the release of the defendant is subject to the following conditions:

- (1) The defendant shall not commit any offense in violation of federal, state or local law while on release in this case.
- (2) The defendant shall immediately advise the court, defense counsel and the U.S. attorney in writing before any change in address and telephone number.
- (3) The defendant shall appear at all proceedings as required and shall surrender for service of any sentence imposed as directed. The defendant shall appear at (if blank, to be notified) JUDGE JED RACOFF  
Place  
500 PEARL ST., #14-B on WEDNESDAY DECEMBER 4, 2019 AT 11:00 A.M.  
Date and Time

## Release on Personal Recognizance or Unsecured Bond

IT IS FURTHER ORDERED that the defendant be released provided that:

- ( ☒ ) (4) The defendant promises to appear at all proceedings as required and to surrender for service of any sentence imposed.
- ( ☒ ) (5) The defendant executes an unsecured bond binding the defendant to pay the United States the sum of ONE MILLION dollars (\$ 1,000,000.00 ) in the event of a failure to appear as required or to surrender as directed for service of any sentence imposed.

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**ADDITIONAL CONDITIONS OF RELEASE**

Upon finding that release by one of the above methods will not by itself reasonably assure the defendant's appearance and the safety of other persons or the community, IT IS FURTHER ORDERED that the defendant's release is subject to the conditions marked below:

( ) (7) The defendant is placed in the custody of:

Person or organization \_\_\_\_\_

Address (only if above is an organization) \_\_\_\_\_

City and state \_\_\_\_\_

Tel. No. (only if above is an organization) \_\_\_\_\_

who agrees (a) to supervise the defendant in accordance with all of the conditions of release, (b) to use every effort to assure the defendant's appearance at all scheduled court proceedings, and (c) to notify the court immediately if the defendant violates any condition of release or disappears.

Signed: \_\_\_\_\_

Custodian or Proxy

Date

DEFENDANT: PARKER H. PETIT

( X ) ( ) The defendant must:

( X ) (a) report to the U.S. PRETRIAL SERVICES

telephone number 404-215-1950, no later than 5:00 PM TODAY

( X ) (b) execute a bond or an agreement to forfeit upon failing to appear as required the following sum of money or designated property:  
1,000,000.00 CO-SIGNED BY WIFE BY 12/12/2019 (PROPERTY BOND)

( ) (c) post with the court the following proof of ownership of the designated property, or the following amount or percentage of the above-described sum

( ) (d) execute a bail bond with solvent sureties in the amount of \$ \_\_\_\_\_

( ) (e) maintain or actively seek employment.

( ) (f) maintain or commence an education program.

( X ) (g) surrender any passport to: U. S. PRETRIAL SERVICES

( X ) (h) obtain no passport.

( X ) (i) abide by the following restrictions on personal association, place of abode, or travel: RESIDE AT THE ADDRESS PROVIDED TO U.S. PRETRIAL SERVICES AND **DO NOT** CHANGE ADDRESS W/O PRE-APPROVAL FROM THIS COURT.

( X ) (j) avoid all contact, directly or indirectly, with any person who is or may become a victim or potential witness in the investigation or prosecution, including but not limited to: \_\_\_\_\_

( ) (k) undergo medical or psychiatric treatment: \_\_\_\_\_

( ) (l) return to custody each (week) day at \_\_\_\_\_ o'clock after being released each (week) day at \_\_\_\_\_ o'clock for employment, schooling, or the following purpose(s): \_\_\_\_\_

( ) (m) maintain residence at a halfway house or community corrections center, as the pretrial services office or supervising officer considers necessary.

( X ) (n) refrain from possessing a firearm, destructive device, or other dangerous weapons **TO INCLUDE AMMUNITION**.

( X ) (o) refrain from ( ) any ( X ) excessive use of alcohol.

( X ) (p) refrain from use or unlawful possession of a narcotic drug or other controlled substances defined in 21 U.S.C. § 802, unless prescribed by a licensed medical practitioner.

( ) (q) submit to any testing required by the pretrial services office or the supervising officer to determine whether the defendant is using a prohibited substance. Any testing may be used with random frequency and include urine testing, the wearing of a sweat patch, a remote alcohol testing system, and/or any form of prohibited substance screening or testing. The defendant must refrain from obstructing or attempting to obstruct or tamper, in any fashion, with the efficiency and accuracy of any prohibited substance testing or monitoring which is (are) required as a condition of release.

( ) (r) participate in a program of inpatient or outpatient substance abuse therapy and counseling if the pretrial services office or supervising officer considers it advisable.

( ) (s) participate in one of the following location monitoring program components and abide by its requirements as the pretrial services officer or supervising officer instructs.

( ) (i) **Curfew.** You are restricted to your residence every day ( ) from \_\_\_\_\_ to \_\_\_\_\_, or ( ) as directed by the pretrial services office or supervising officer; or

( ) (ii) **Home Detention.** You are restricted to your residence at all times except for employment; education; religious services; medical, substance abuse, or mental health treatment; attorney visits; court appearances; court-ordered obligations; or other activities pre-approved by the pretrial services office or supervising officer; or

( ) (iii) **Home Incarceration.** You are restricted to 24-hour-a-day lock-down except for medical necessities and court appearances or other activities specifically approved by the court.

( ) (t) submit to the location monitoring indicated below and abide by all of the program requirements and instructions provided by the pretrial services officer or supervising officer related to the proper operation of the technology.

( ) The defendant must pay all or part of the cost of the program based upon your ability to pay as the pretrial services office or supervising officer determines.

( ) (i) Location monitoring technology as directed by the pretrial services office or supervising officer;

( ) (ii) Radio Frequency (RF) monitoring;

( ) (iii) Passive Global Positioning Satellite (GPS) monitoring;

( ) (iv) Active Global Positioning Satellite (GPS) monitoring (including "hybrid" (Active/Passive) GPS);

( ) (v) Voice Recognition monitoring.

( X ) (u) Defendant ORDERED to remain w/in the jurisdiction of the NDGA/SDNY/WASH., DC unless pre-approval from U.S. Pretrial Services is received.

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## Advice of Penalties and Sanctions

FILED IN OPEN COURT  
U.S.D.C. - Atlanta

TO THE DEFENDANT:

NOV 26 2019

YOU ARE ADVISED OF THE FOLLOWING PENALTIES AND SANCTIONS:

James N. Hatten, Clerk  
By: *[Signature]*

A violation of any of the foregoing conditions of release may result in the immediate issuance of a warrant for your arrest, a revocation of release, an order of detention, and a prosecution for contempt of court and could result in a term of imprisonment, a fine, or both.

The commission of a Federal offense while on pretrial release will result in an additional sentence of a term of imprisonment of not more than ten years, if the offense is a felony; or a term of imprisonment of not more than one year, if the offense is a misdemeanor. This sentence shall be in addition to any other sentence.

Federal law makes it a crime punishable by up to 10 years of imprisonment, and a \$250,000 fine or both to obstruct a criminal investigation. It is a crime punishable by up to ten years of imprisonment, and a \$250,000 fine or both to tamper with a witness, victim or informant; to retaliate or attempt to retaliate against a witness, victim or informant; or to intimidate or attempt to intimidate a witness, victim, juror, informant, or officer of the court. The penalties for tampering, retaliation, or intimidation are significantly more serious if they involve a killing or attempted killing.

If after release, you knowingly fail to appear as required by the conditions of release, or to surrender for the service of sentence, you may be prosecuted for failing to appear or surrender and additional punishment may be imposed. If you are convicted of:

- (1) an offense punishable by death, life imprisonment, or imprisonment for a term of fifteen years or more, you shall be fined not more than \$250,000 or imprisoned for not more than 10 years, or both;
- (2) an offense punishable by imprisonment for a term of five years or more, but less than fifteen years, you shall be fined not more than \$250,000 or imprisoned for not more than five years, or both;
- (3) any other felony, you shall be fined not more than \$250,000 or imprisoned not more than two years, or both;
- (4) a misdemeanor, you shall be fined not more than \$100,000 or imprisoned not more than one year, or both.

A term of imprisonment imposed for failure to appear or surrender shall be in addition to the sentence for any other offense. In addition, a failure to appear or surrender may result in the forfeiture of any bond posted.

## Acknowledgment of Defendant

I acknowledge that I am the defendant in this case and that I am aware of the conditions of release. I promise to obey all conditions of release, to appear as directed, and to surrender for service of any sentence imposed. I am aware of the penalties and sanctions set forth above.

*[Signature]*

Signature of Defendant

1650 COX ROAD

Address

ROSWELL, GA 30075 770)650-2755

CITY/STATE/ZIP CODE TELEPHONE

## Directions to United States Marshal

- (X) The defendant is ORDERED released after processing.  
(X) The United States marshal is ORDERED to keep the defendant in custody until notified by the clerk or judge that the defendant has posted bond and/or complied with all other conditions for release. The defendant shall be produced before the appropriate judge at the time and place specified, if still in custody.

Date: *November 26, 2019*

*[Signature]*  
Signature of Judicial Officer  
*U.S. Magistrate Judge*  
Name and Title of Judicial Officer

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